

Docket No.: 241891US0CONT

OBLON
SPIVAK
McCLELLAND
MAIER
&
NEUSTADT
P.C.

ATTORNEYS AT LAW

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



RE: Application Serial No.: 10/724,826

Applicants: Jean-Thierry SIMONNET, et al.

Filing Date: December 2, 2003

For: NANOEMULSION BASED ON SUGAR FATTY
ESTERS OR ON SUGAR FATTY ETHERS AND ITS
USES IN THE COSMETICS, DERMATOLOGICAL
AND/OR OPHTHALMOLOGICAL FIELDS

Group Art Unit: 1617

Examiner:

SIR:

Attached hereto for filing are the following papers:

Information Disclosure Statement Under 37 CFR 1.97

PTO Form-1449

Cited References (9)

List of Related Cases

Cited Pending Applications (4)

Our check in the amount of **\$0.00** is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Richard L. Treanor
Registration No. 36,379

Customer Number

22850

(703) 413-3000 (phone)
(703) 413-2220 (fax)

Docket No. 241891US0CONT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jean-Thierry SIMONNET, et al.

SERIAL NO: 10/724,826

GAU: 1617

FILED: December 2, 2003

EXAMINER:

FOR: NANOEMULSION BASED ON SUGAR FATTY ESTERS OR ON SUGAR FATTY ETHERS AND ITS USES IN THE COSMETICS, DERMATOLOGICAL AND/OR OPHTHALMOLOGICAL FIELDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Richard L. Treanor
Registration No. 36,379

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)



SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241891US0CONT	SERIAL NO. 10/724,826		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Jean-Thierry SIMONNET, et al.					
		FILING DATE December 2, 2003		GROUP 1617			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,126,948	10/03/2000	Simonnet et al.			
	AB	6,274,150	08/14/2001	Simonnet et al.			
	AC	6,419,946	07/16/2002	Sonneville et al.			
	AD	6,335,022	01/01/2002	Simonnet et al.			
	AE	6,541,018	04/01/2003	Simonnet et al.			
	AF	6,375,960	04/23/2002	Simonnet et al.			
	AG	6,464,990	10/15/2002	Simonnet et al.			
	AH	6,413,527	07/02/2002	Simonnet et al.			
	AI	6,461,625	10/08/2002	Simonnet et al.			
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO				YES	NO	
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
<p>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
06388-0274-0	6,126,948	10/03/00	SIMONNET, et al.
06388-0472-0	6,274,150	8/14/01	SIMONNET, et al.
06388-0474-0	6,419,946	7/16/02	SONNEVILLE, et al.
06388-0475-0	6,335,022	1/01/02	SIMONNET, et al.
06388-0476-0	6,541,018	4/1/03	SIMONNET, et al.
06388-0471-0	6,375,960	4/23/02	SIMONNET, et al.
06388-0477-0	6,464,990	10/15/02	SIMONNET, et al.
06388-0479-0	6,413,527	7/2/02	SIMONNET, et al.
06388-0484-0	6,461,625	10/8/02	SIMONNET, et al.
210578US-0	09/903,768	7/13/01	L'ALLORET, et al.
206673US-0	09/848,412	5/4/01	SONNEVILLE-AUBRUN, et al.
206672US-0	09/860,466	5/21/01	SONNEVILLE-AUBRUN, et al.
241891US-0-CONT*	10/724,826	12/2/03	SIMONNET, et al.
226289US0 CONT	10/207,396	7/30/02	SIMONNET, et al.

*Present Application; listed for information
NFO/aaf/amr